# The Decarboxylation of Some Heterocyclic Acetic Acids. Part II. ${ }^{1}$ Direct and Indirect Evidence for the Zwitterionic Mechanism 

By Richard G. Button and Peter J. Taylor,* Imperial Chemical Industries Limited, Pharmaceuticals Division, Alderley Park, Macclesfield, Cheshire


#### Abstract

Macroscopic and model $\mathrm{p} K_{\mathrm{a}}$ values for the 2-and 4-pyridylacetic acids in aqueous propan-2-ol have been measured and used to evaluate the microscopic $\mathrm{p} K_{\mathrm{a}}$ values as a function of solvent composition. From these are calculated the mole fractions of neutral form and zwitterion present. which in conjunction with the observed decarboxylation rate allows this to be re-expressed in terms of these sub-species (as $k_{\mathrm{N}}$ and $k_{\mathrm{Z}}$ respectively). The smoothness of the relation between $k_{\mathrm{z}}$ and solvent composition. and the contrast in this respect with $k_{\mathrm{N}}$. are used as evidence that decarboxylation goes through the zwitterion. Similar calculations applied to some of the heterocyclic acetic acids previously examined show that $k_{\mathrm{z}}$. but not $k_{\mathrm{N}}$. is a linear or slightly curved function of Perrin's nuclear $\sigma$ constants; this and other evidence is interpreted in favour of the zwitterionic route for the whole range of heterocycles examined. A refinement of the theory implicates tautomeric ratio. which has been measured for some heterocyclic acetates. as a further influence on decarboxylation rate. The $\beta$-keto-acids are discussed in the light of these results: it is concluded that they also are probably decarboxylated by the zwitterionic mechanism.


The mechanism of decarboxylation of the $\beta$-keto-acids has long been the subject of dispute. Two rival routes have been proposed: that going through the zwitterion (lb), ,2,3 or that involving the cyclic transition state (2a) ${ }^{4-6}$ (Scheme $1 ; X=O$ ). Despite the fact that, as

we ${ }^{1}$ have pointed out, the transition states (2a) and (2b) are stereochemically quite distinct, decision between these mechanisms is peculiarly difficult. As first pointed out by Hine, ${ }^{7}$ most arguments that support one theory can be used, with suitable modification, equally to support the other. The dispute is bedevilled by the fact, long known, ${ }^{8}$ that sub-species in equilibrium will always mimic the thermodynamic behaviour of the dominant, and no argument so far used has turned the flank of this position.

Recently, Bigley and Thurman ${ }^{6}$ have demonstrated that the gas-phase decarboxylation of the $\beta, \gamma$-unsaturated acids (Scheme 1; $X=\mathrm{CR}_{2}$ ) goes by the cyclic pathway (la) $\rightarrow(2 \mathrm{a}) \longrightarrow(3)$. From this, and from the close similarity of the solvent and substituent
${ }^{1}$ Part I, P. J. Taylor, J.C.S. Perkin II, 1972, 1077.
2 K. J. Pedersen, J. Amer. Chem. Soc., 1929, 51, 2098; J. Phys. Chem., 1934, 38, 559.
${ }^{3}$ K. R. Brower, B. Gay, and T. L. Konkol, J. Amer. Chem. Soc., 1966, 88, 1681 .
${ }^{4}$ F. H. Westheimer and W. A. Jones, J. Amer. Chem. Soc., 1941, 63, 3283; F. H. Westheimer, Proc. Chem. Soc., 1963, 253.
effects between these and the $\beta$-keto-acids studied by Swain et al., ${ }^{5}$ they deduce a common mechanism to obtain. Precisely similar effects are shown by the heterocyclic acids (Scheme 1; $\mathrm{X}=$ nitrogen atom of heterocyclic ring) whose decarboxylation rates we have recently reported, ${ }^{1}$ and it would be natural on this evidence alone to draw similar conclusions. However, for certain of these compounds the cyclic mechanism is sterically impossible, and from the evidence taken together we believe it to be unlikely for the remainder. ${ }^{1}$ This evidence nevertheless is circumstantial, and despite much that is suggestive, nothing so far can be proved.

We now return to the subject with evidence which we believe to be decisive in one disputed case, and overwhelmingly compelling elsewhere. We conclude with some comments on mechanisms of decarboxylation generally.

Direct Evidence: the Pyridylacetic Acids.-The decarboxylation rate of most heterocyclic acetic acids in $50 \%$ acetone can be followed by titration of the acid remaining. ${ }^{1}$ This is possible because the upper $\mathrm{p} K_{\mathrm{a}}$ value ( $\mathrm{p} K_{\mathrm{B}}$ of Scheme 2) is essentially that of the


Scheme 2 The convention used here is that of ref. 10
carboxy-group. For the highly zwitterionic pyridylacetic acids, this is not so; $\mathrm{p} K_{\mathrm{B}}$ is that of the cation (i.e. $\mathrm{p} K_{3}$ not $\mathrm{p} K_{4}$ ), and in consequence its titre does not change as the reaction proceeds ( $\mathrm{p} K_{\mathrm{A}}$ is too low for reliable potentiometric estimation). We discovered in
${ }^{5}$ C. G. Swain, R. F. W. Bader, R. M. Esteve, and R. N. Griffin, J. Amer. Chem. Soc., 1961, 83, 1951.
${ }_{6}$ D. B. Bigley and J. C. Thurman, J. Chem. Soc. (B), 1967, 941; 1968, 436; Tetrahedron Letters, 1967, 25, 2377.
${ }^{7}$ J. Hine, ' Physical Organic Chemistry,' McGraw-Hill, New York, 1956, p. 285.
${ }_{8}$ A. A. Frost and R. G. Pearson, ' Kinetics and Mechanism,' Wiley, New York, 1953, p. 264.
the course of that work that this situation could be remedied by conducting titrations in $80 \%$ acetone, where $\mathrm{p} K_{\mathrm{B}}$ is that of the acid (i.e. $\mathrm{p} K_{4}$ ). Further experimentation showed this reversal to be extraordinarily sudden: it probably takes place between 50 and $60 \%$ acetone. In seeking an explanation for this remarkable observation, we were led to the reasoning behind the experiments here to be described.

As mixed aqueous solvents become less aqueous, basic $\mathrm{p} K_{\mathrm{a}}$ values generally fall whereas acid $\mathrm{p} K_{\mathrm{a}}$ values rise. ${ }^{9}$ A weak base-weak acid salt may, therefore, become essentially a neutral species as this proceeds. However, both $\mathrm{p} K_{\mathrm{a}}$ values will change gradually. In the present case, however, there is an extra complication. There are not two $\mathrm{p} K_{\mathrm{a}}$ values, but four (Scheme 2); a stronger base and stronger acid associated with the zwitterion, a weaker base and weaker acid associated with the neutral species. The overall change in $\mathrm{p} K_{\mathrm{a}}$, therefore, should be very much greater than is normal, and the transition from one dominant species to the other could, by a kind of ' positive feedback,' give rise to a discontinuity. The possibility of that discontinuity provides the rationale for what follows.

From any three ionisation constants, the relative amounts of zwitterion and of neutral species may in principle be calculated. ${ }^{\mathbf{9 , 1 0}}$ Given this information, the observed rate constant $k_{\text {obs }}$ may be re-expressed in terms of zwitterion or neutral species as $k_{\mathrm{Z}}=k_{\mathrm{obs}} / x_{\mathrm{Z}}$ or as $k_{\mathrm{N}}=k_{\text {obs }} / x_{\mathbb{N}}$ respectively. By hypothesis, one only of $k_{\mathrm{Z}}$ and $k_{\mathrm{N}}$ is 'real'; the other is bogus. In deciding which is which, we make one simple, fundamental postulate. We suppose that the 'real' rate constant will be some monotonic function of solvent composition: a straight line or a smooth continuous curve. It can be shown that, in the idealised case, the expected relationship for the 'bogus ' rate constant will then be a switchback i.e., two such lines (or curves) separated by another of different slope.* The necessity for this follows from the mathematical circumstance that, if $y=\mathrm{f}(x)$, the relation $y=\mathrm{f}(1-x)$ cannot simultaneously hold. If the observed discontinuity is sudden enough, it should therefore be possible to resolve this point.

Before this theory could be put to the test, however, there were some practical difficulties to overcome. The first concerns the calculation of the microscopic ionisation constants $K_{1}-K_{4}$. This is normally accomplished ${ }^{9,10}$ from the macroscopic constants $K_{\mathrm{A}}$ and $K_{\mathrm{B}}$, and any one of the remainder. For the $\alpha$-amino-acids it is conventionally accepted ${ }^{9,13}$ that $K_{2}$ is closely approximated by $K_{\mathrm{a}}$ for the corresponding ester ( $K_{\mathrm{OM}}$ in the Tables) since electron withdrawal due to $\mathrm{CO}_{2} \mathrm{H}$ and $\mathrm{CO}_{2} \mathrm{R}$ is virtually identical. ${ }^{14}$ That convention has

[^0]been adopted here. However, it is useful only so long as $K_{1}>K_{2}$, i.e. the zwitterion remains the dominant species. Once the neutral species predominates $K_{2}$ and $K_{\mathrm{A}}$ converge, and the attempt to estimate $K_{1}$ this way involves the measurement of a small difference between large quantities. So far as we can ascertain, how to calculate $K_{\mathrm{Z}}$ (Scheme 2) for a compound largely in the neutral form is a question that has never been considered.

We have attempted a solution in the following way. The various $\sigma$ values for carboxylate anion ${ }^{14}$ all lie close to zero; that is, the best approximation to $\mathrm{CO}_{2}{ }^{-}$is probably H . If that is so the solution is very convenient, since the appropriate compounds, here 2 - and 4-methylpyridine, are available as decarboxylation products. Data are presented in Table 3 which show that, in water, this $\mathrm{p} K_{\mathrm{a}}$ value (tabulated as $\mathrm{p} K_{\text {ме) }}$ ) indeed lies close to $\mathrm{p} K_{3}$ in four such cases. However, this approximation may not continue to hold in mixed aqueous solution. We have examined this question over the range of composition for which the normal convention can be used (the first seven points in Tables 1 and 2), and in fact ( $\mathrm{p} K_{\mathrm{Me}}-\mathrm{p} K_{3}$ ) falls with increasing propan-2-ol content, eventually becoming negative. However, this difference shows some tendency to stabilise (it may even start to reverse), so the last available value has been used to compute $\mathrm{p} K_{3}$ for the last four points. In these, we have assumed the relations $\mathrm{p} K_{3}-\mathrm{p} K_{\mathrm{Me}}=0 \cdot 1$ and 0.4 for 2 - and 4pyridylacetic acids respectively. The microscopic values of Tables 1 and 2 follow from these twin assumptions. Close inspection of the data will reveal that these assumptions are to some extent mutually incompatible, presumably because both are distorted by solvent dependence. One might reasonably expect this to be more severe for that concerning $\mathrm{p} K_{3}$, as we have assumed, since the solvation properties of $\mathrm{RCH}_{3}$ and $\mathrm{RCH}_{2} \mathrm{CO}_{2}{ }^{-}$are likely to differ more than those of $\mathrm{RCO}_{2} \mathrm{H}$ and $\mathrm{RCO}_{2} \mathrm{R}^{\prime}$. Despite these caveats this treatment is here adopted as the best approximation currently available, and detailed justification must depend on the final result for 4 -pyridylacetic acid (see below). In any case, the second assumption is not introduced till the critical crossover region is past.

The other hiatus concerns the calculation of $x_{\mathrm{Z}}$ and $x_{\mathrm{N}}$. There is a well known formula, due to Ebert, ${ }^{9,13}$ for the calculation of the zwitterionic ratio $K_{\mathrm{Z}}$, but when even at the isoelectric point substantial amounts of cation and anion may still be present, this formula is inadequate. So far as we can ascertain the appropriate equations, though simple, do not appear in the literature, so they have been derived and are given as an Appendix

[^1]to this paper. As it turns out, the proportion of cation plus anion present in these solutions ranges from 7 to $\mathbf{1 5 \%}$, so the correction is not negligible. It becomes so when ( $\mathrm{p} K_{\mathrm{B}}-\mathrm{p} K_{\mathrm{A}}$ ) exceeds four $\mathrm{p} K$ units, at which point the above proportion has fallen to $c a .2 \%$; it is
effects on potentiometrically accessible acid and base $\mathrm{p} K_{\mathrm{a}}$ values, a fact consistent with the nearly equal dielectric constants of these co-solvents. All rate and $\mathrm{p} K_{\mathrm{a}}$ determinations were therefore performed in aqueous propan-2-ol. Results are assembled in Tables 1 and 2,

Table 1
Microscopic $\mathrm{p} K_{\mathrm{a}}$ values ${ }^{a}$ and mole fractions zwitterion $\left(x_{\mathrm{z}}\right)$ and neutral species ( $x_{\mathrm{N}}$ ) at $25^{\circ}$, and rate constants

| $\mathrm{Pr}^{\mathrm{i} O H}(\%)^{\text {a }}$ | $\mathrm{p} K_{\text {OMe }}{ }^{\text {c }}$ | $\mathrm{p} K_{\text {Me }}$ | $\mathrm{p} K_{\text {A }}$ | $\mathrm{p} K_{\mathrm{B}}$ | $\mathrm{p} K_{1}$ | $\mathrm{p} K_{2}$ | $\mathrm{p} K_{3}$ | $\mathrm{p} K_{4}$ | $x_{\text {Z }}$ | $x_{\text {N }}$ | $10^{3} k_{\text {obs }} / \mathrm{min}^{-1}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 20 | $3 \cdot 87$ | $5 \cdot 60$ | $2 \cdot 79$ | $5 \cdot 57$ | $2 \cdot 81$ | (3.87) | $5 \cdot 53$ | $4 \cdot 49$ | $0 \cdot 85$ | $0 \cdot 077$ | $0 \cdot 45$ |
| 30 | $3 \cdot 66$ | $5 \cdot 47$ | $2 \cdot 80$ | $5 \cdot 54$ | $2 \cdot 87$ | (3.66) | $5 \cdot 47$ | $4 \cdot 68$ | $0 \cdot 79$ | $0 \cdot 13$ | 1.26 |
| 40 | $3 \cdot 43$ | $5 \cdot 23$ | $2 \cdot 85$ | 5.50 | 2.98 | $(3 \cdot 43)$ | $5 \cdot 37$ | $4 \cdot 92$ | $0 \cdot 67$ | $0 \cdot 24$ | $3 \cdot 00$ |
| 45 | $3 \cdot 39$ | $5 \cdot 10$ | $2 \cdot 90$ | $5 \cdot 46$ | 3.07 | $(3 \cdot 39)$ | $5 \cdot 29$ | 4.97 | $0 \cdot 61$ | $0 \cdot 295$ | $4 \cdot 60$ |
| 50 | $3 \cdot 35$ | 5.00 | 2.97 | $5 \cdot 42$ | $3 \cdot 18$ | (3.35) | $5 \cdot 21$ | 5.04 | 0.52 | $0 \cdot 37$ | 6.88 |
| 55 | $3 \cdot 32$ | $4 \cdot 91$ | $3 \cdot 04$ | $5 \cdot 37$ | $3 \cdot 36$ | (3-32) | 5.05 | 5.09 | $0 \cdot 415$ | $0 \cdot 46{ }_{5}$ | $9 \cdot 24$ |
| 60 | $3 \cdot 26$ | $4 \cdot 82$ | $3 \cdot 11$ | $5 \cdot 48$ | $3 \cdot 65$ | (3.26) | 4.92 | $5 \cdot 31$ | $0 \cdot 26$ | 0.63 | $12 \cdot 8$ |
| 65 | $3 \cdot 10$ | $4 \cdot 71$ | $3 \cdot 09$ | $5 \cdot 67$ | 3.95 | $3 \cdot 15$ | (4-81) | $5 \cdot 61$ | $0 \cdot 12_{5}$ | 0.78 | $15 \cdot 2$ |
| 70 | $3 \cdot 02$ | $4 \cdot 58$ | 3•13 | $5 \cdot 81$ | $4 \cdot 26$ | $3 \cdot 16$ | (4-68) | 5.78 | $0 \cdot 068$ | 0.85 | $19 \cdot 7$ |
| 75 | 2.90 | $4 \cdot 41$ | $3 \cdot 18$ | $5 \cdot 92$ | $4 \cdot 59$ | $3 \cdot 20$ | (4.51) | $5 \cdot 90$ | 0.036 | $0 \cdot 89$ | $25 \cdot 2$ |
| 80 | 2.85 | $4 \cdot 22$ | 3.27 | $6 \cdot 00$ | 4.95 | $3 \cdot 28$ | (4-32) | $5 \cdot 99$ | $0 \cdot 018$ | 0.90 | 31.5 |

a Values in parentheses are assigned on assumptions discussed in the text, italicised ones are calculated from these. ${ }^{b} \mathrm{Pr}^{\mathrm{i} O H}$ $x$-vol to water $(100-x)$ vol. ${ }^{c} \mathrm{p} K_{\mathrm{a}}$ Value of methyl ester.

Table $2{ }^{a}$
Microscopic $\mathrm{p} K_{\mathrm{a}}$ values and mole fractions zwitterion ( $x_{\mathrm{Z}}$ ) and neutral species ( $x_{\mathrm{N}}$ ) at $25^{\circ}$, and rate constants at $55^{\circ}$ for 4 -pyridylacetic acid in aqueous propan- 2 -ol

| $\mathrm{Pr}{ }^{\text {i }} \mathrm{OH}\left(\begin{array}{c}0 \\ 0 \\ 0\end{array}\right)$ | $\mathrm{p} K_{\text {OMe }}$ | $\mathrm{p} K_{\text {Me }}$ | $\mathrm{p} K_{\text {A }}$ | $\mathrm{p} K_{\text {B }}$ | $\mathrm{p} K_{1}$ | $\mathrm{p} K_{2}$ | $\mathrm{p} K_{3}$ | $\mathrm{p} K_{4}$ | $x_{\mathrm{z}}$ | $x_{N}$ | $\begin{gathered} 10^{3} k_{\text {obs }} / \\ \min ^{-1} \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 20 | $4 \cdot 53$ | $5 \cdot 84$ | 3•14 | $5 \cdot 78$ | $3 \cdot 16$ | (4.53) | $5 \cdot 76$ | $4 \cdot 32$ | $0 \cdot 88$ | 0.037 | $0 \cdot 241$ |
| 30 | $4 \cdot 38$ | $5 \cdot 62$ | $3 \cdot 22$ | $5 \cdot 76$ | $3 \cdot 25$ | (4-38) | $5 \cdot 73$ | $4 \cdot 60$ | $0 \cdot 84$ | 0.063 | $0 \cdot 677$ |
| 40 | $4 \cdot 23$ | $5 \cdot 21$ | $3 \cdot 30$ | $5 \cdot 73$ | $3 \cdot 35$ | (4-23) | $5 \cdot 68$ | $4 \cdot 80$ | 0.78 | $0 \cdot 105$ | 1.58 |
| 45 | $4 \cdot 14$ | $5 \cdot 12$ | $3 \cdot 35$ | $5 \cdot 71$ | $3 \cdot 43$ | (4-14) | $5 \cdot 63$ | 4.92 | $0 \cdot 74$ | $0 \cdot 14{ }_{5}$ | $2 \cdot 71$ |
| 50 | $4 \cdot 04$ | $5 \cdot 08$ | $3 \cdot 44$ | $5 \cdot 69$ | $3 \cdot 57$ | (4-04) | $5 \cdot 56$ | $5 \cdot 09$ | $0 \cdot 65$ | 0.22 | $3 \cdot 84$ |
| 55 | $3 \cdot 93$ | $5 \cdot 02$ | $3 \cdot 54$ | $5 \cdot 67$ | $3 \cdot 77$ | (3.93) | $5 \cdot 44$ | $5 \cdot 28$ | 0.50 | $0 \cdot 35$ | $5 \cdot 80$ |
| 60 | $3 \cdot 85$ | $4 \cdot 94$ | $3 \cdot 60$ | 5.71 | 3.96 | (3•85) | $5 \cdot 35$ | $5 \cdot 46$ | $0 \cdot 36{ }_{5}$ | $0 \cdot 48$ | $7 \cdot 09$ |
| 65 | $3 \cdot 82$ | $4 \cdot 84$ | $3 \cdot 54$ | $5 \cdot 84$ | $4 \cdot 14$ | $3 \cdot 66$ | (5-24) | $5 \cdot 72$ | $0 \cdot 22$ | $0 \cdot 66{ }_{5}$ | $9 \cdot 19$ |
| 70 | $3 \cdot 72$ | $4 \cdot 75$ | 3.52 | 5.94 | $4 \cdot 31$ | $3 \cdot 59$ | (5-15) | $5 \cdot 87$ | $0 \cdot 14_{5}$ | $0 \cdot 745$ | $12 \cdot 2$ |
| 75 | $3 \cdot 66$ | $4 \cdot 65$ | $3 \cdot 52$ | 6.01 | $4 \cdot 48$ | 3.57 | (5.05) | 5.96 | 0.098 | $0 \cdot 80$ | 16.5 |
| 80 | $3 \cdot 55$ | $4 \cdot 51$ | $3 \cdot 57$ | 6.05 | $4 \cdot 71$ | $3 \cdot 60$ | (4.91) | 6.02 | 0.064 | $0 \cdot 83$ | $21 \cdot 1$ |

a For key see notes to Table 1.
therefore unimportant for the $\alpha$-amino-acids that have mostly been considered.


Figure 1 Measured $\mathrm{p} K_{\mathrm{a}}$ values in aqueous propan-2-ol at $25^{\circ}$ for (a) 2-pyridylacetic acid, (b) 4-pyridylacetic acid, and related compounds (for key to nomenclature see Tables 1 and 2)

Our final problem concerned the choice of solvent. Aqueous acetone as previously ${ }^{1}$ used is u.v.-opaque, so does not allow the determination of $\mathrm{p} K_{\mathrm{A}}$ and $\mathrm{p} K_{\mathrm{B}}$ by u.v. methods. Preliminary tests showed that aqueous propan-2-ol and aqueous acetone have very similar
and those that benefit from visible display appear further on Figures 1-4.

Figure $1(\mathrm{a})$ displays the $\mathrm{p} K_{\mathrm{A}}, \mathrm{p} K_{\mathrm{B}}, \mathrm{p} K_{\mathrm{OMe}}$, and $\mathrm{p} K_{\mathrm{Mo}}$ values for 2-pyridylacetic acid as a function of mole


Figure 2 Calculated mole fractions of zwitterion $x_{\mathbf{Z}}$ and neutral species $x_{\mathrm{N}}$ in aqueous propan-2-ol for (a) 2-pyridylacetic acid, (b) 4-pyridylacetic acid
fraction of propan-2-ol. The discontinuity in $\mathrm{p} K_{\mathrm{A}}$ and $\mathrm{p} K_{\mathrm{B}}$ is clearly apparent; it probably occurs at almost
the same point as in aqueous acetone. The resulting values of $x_{\mathrm{Z}}$ and $x_{\mathrm{N}}$ appear, similarly, on Figure 2(a). Their relative proportions do not depend on solvent composition quite so steeply as Figure $1(a)$ would


Figure 3 Observed rate as a function of solvent composition in aqueous propan-2-ol for 2-pyridylacetic acid at $60^{\circ}$ (circles) and 4 -pyridylacetic acid at $55^{\circ}$ (squares)
suggest, but the effect is certainly far more pronounced than would be expected for a simple weak base-weak acid salt. The overall rate $k_{\text {obs }}$ is shown on Figure 3. There may be a change of slope near the crossover point, a possibility deliberately emphasised by the way the lines are drawn, but the effect is not pronounced and in itself, as sufficiently discussed, ${ }^{1}$ this fact alone conveys no information. However, the evidence of Figure 4(a) is decisive. Here $k_{\mathrm{Z}}$ is a smooth, almost linear function of solvent composition; $k_{\mathrm{N}}$ shows the 'switchback' effect predicted. The first is therefore the 'real,' the second the ' bogus,' rate coefficient.

It may reasonably be objected that, while the effect is there, its magnitude is small and the assumptions involved are open to question. To settle this matter we
assumptions for the last four points appear to introduce one. We conclude that the zwitterionic mechanism applies to both.

The solvent scale used in Figure 4 is that of mole fraction, since this function most generally leads to linearity with such thermodynamic quantities as $\log k$ and $\mathrm{p} K_{\mathrm{a}}$ in mixed aqueous solvents. ${ }^{15}$ It is true that the use of other scales, e.g. \% composition, causes appreciable extra curvature in the lines for $k_{\mathrm{Z}}$. However, there is no solvent scale that can straighten $k_{N}$, and no plausibility in the proposition that, when these compounds behave so similarly, the mechanism remains ambiguous for the one though certain for the other.


Figure $4 \quad k_{\mathrm{Z}}$ (circles) and $k_{\mathrm{N}}$ (squares) as a function of solvent composition in aqueous propan-2-ol for (a) 2-pyridylacetic acid, (b) 4-pyridylacetic acid

We believe we have come as close as is ever likely to be possible to a kinetic proof of mechanism in a case such as this.

Table 3
Microscopic $\mathrm{p} K_{\mathrm{a}}$ values ${ }^{a}$ for some heterocyclic acetic acids in water at $25^{\circ}$

| Acid | $\mathrm{p} K_{\text {OMe }}$ | $\mathrm{p} K_{\text {Me }}$ | $\mathrm{p} K_{\text {A }}$ | $\mathrm{p} K_{\text {B }}$ | $\mathrm{p} K_{1}$ | $\mathrm{p} K_{2}$ | $\mathrm{p} K_{3}$ | $\mathrm{p} K_{4}$ | $\begin{gathered} \left(\mathrm{p} K_{\mathrm{Me}}-\right. \\ \left.\mathrm{p} K_{3}\right) \end{gathered}$ | $x_{\mathrm{z}}$ | $x_{N}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| (4) | 5•12 | 6.55 | $2 \cdot 26$ | 6.52 | $2 \cdot 26$ | (5.12) | 6.52 | $3 \cdot 66$ | 0.03 | $0.98{ }_{5}$ | $0 \cdot 0013$ |
| (5) ${ }^{\text {b }}$ | $4 \cdot 15$ | 5.94 | $2 \cdot 62$ | $5 \cdot 79$ | $2 \cdot 63$ | (4-15) | $5 \cdot 78$ | $4 \cdot 26$ | $0 \cdot 16$ | $0 \cdot 92{ }_{5}$ | 0.028 |
| (6) ${ }^{b}$ | $5 \cdot 00$ | $6 \cdot 03$ | $3 \cdot 00$ | $5 \cdot 88$ | 3.00 | (5.00) | $5 \cdot 87$ | $3 \cdot 87$ | $0 \cdot 16$ | $0.92{ }^{5}$ | 0.0093 |
| (13) |  | $2 \cdot 46$ | $1 \cdot 24$ | $4 \cdot 23$ | $(2.86)^{6}$ | 1.25 | $2 \cdot 61$ | $4 \cdot 22$ | $-0 \cdot 15$ | $0 \cdot 022$ | 0.915 |

${ }^{a}$ For key see notes to Table 1. ${ }^{b} \mathrm{p} K_{\mathrm{a}}$ Values from J. H. Blanch, J. Chem. Soc. (B), 1966, 937, except for $\mathrm{p} K_{\mathrm{Me}}$, from A. Gero and J. J. Markham, J. Org. Chem., 1951, 16, 1835. © See ref. l.
have repeated the entire cycle with 4-pyridylacetic acid, for which the zwitterionic mechanism is unambiguous. ${ }^{1}$ The results are displayed on Figures 1(b), 2(b), 3, and 4(b). All closely resemble their companions and the final plot of $k_{\mathrm{Z}}$ and $k_{\mathrm{N}}$ [Figure $\left.4(\mathrm{~b})\right]$ shows an effect which, while more pronounced than that of Figure 4(a), is not spectacularly so. Probably the reason lies in the somewhat sharper crossover shown by $x_{\mathrm{z}}$ and $x_{\mathrm{N}}$ [Figure 2(b)], itself plausibly the result of this compound's greater separation of charge. In neither case is any discontinuity in $k_{\mathrm{Z}}$ evident, nor does the switch in
${ }^{15}$ N. M. Ballash, E. B. Robertson, and M. D. Sokolowski, Trans. Faraday Soc., 1970, 66, 2622.

Indirect Evidence: the Remainder.-The zwitterionic ratio. A conclusion reached for compounds that are highly zwitterionic cannot necessarily be generalised to those that are not. The pyridylacetic acids are unique in that the transition from one predominant species to the other can be achieved in mixed aqueous solvents. The imidazolylacetic acid (4) is too strong a base to exhibit this effect, whereas the remaining compounds we have investigated ${ }^{\mathbf{1}}$ are all too weak. For these, therefore, a different approach has had to be employed.

As Bigley and Thurman ${ }^{6}$ have remarked, the zwitterionic theory effectively subsumes the decarboxylation of the $\beta$-keto-acids (and of those here discussed)

Table 4
Microscopic $\mathrm{p} K_{\mathrm{a}}$ values ${ }^{a}$ and mole fractions zwitterion ( $x_{\mathrm{z}}$ ) and neutral species ( $x_{\mathrm{N}}$ ) for various heterocyclic acetic acids in $\mathbf{4 6} \%$ propan- 2 -ol at $25^{\circ}$, and decarboxylation rate constants ${ }^{b}$ in $50 \%$ acetone at $50^{\circ}$

| Compound | $\mathrm{p} K_{\text {OMe }}$ | $\mathrm{p} K_{\text {Me }}$ | $\mathrm{p} K_{\text {A }}$ | $\mathrm{p} K_{\mathrm{B}}$ | $\mathrm{p} K_{1}$ | $\mathrm{p} K_{2}$ | $\mathrm{p} K_{3}$ | $\mathrm{p} K_{4}$ | $x_{z}$ | $x_{\text {N }}$ | $\begin{gathered} 10^{3} k_{\text {obs }} / \\ \min ^{-1} \end{gathered}$ | $\Sigma \sigma^{c}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| (4) | $4 \cdot 48$ | 5•78 | $2 \cdot 76$ | $6 \cdot 44$ | 2.77 | (4.48) | $6 \cdot 43$ | 4.72 | 0.95 | $0 \cdot 018{ }_{5}$ | 0.081 | 0.57 |
| (5) | $3 \cdot 39$ | $5 \cdot 10$ | $2 \cdot 90$ | $5 \cdot 46$ | 3.07 | (3.39) | $5 \cdot 29$ | $4 \cdot 97$ | $0 \cdot 61$ | $0 \cdot 295$ | $2 \cdot 35$ | 0.75 |
| (6) | $4 \cdot 14$ | $5 \cdot 12$ | $3 \cdot 35$ | $5 \cdot 71$ | $3 \cdot 43$ | (4-14) | 5.63 | $4 \cdot 92$ | $0 \cdot 74$ | $0 \cdot 14_{5}^{5}$ | $7 \cdot 02$ | 0.96 |
| (7) | $-0.29$ | $1 \cdot 07$ | -0.29 | $5 \cdot 05^{\text {d }}$ | $3 \cdot 29$ | (-0.29) | (1-47) | $5 \cdot 05$ | $2.6 \times 10^{-4}$ | 0.995 | $3 \cdot 29{ }^{\text {e }}$ | 1.51 |
| (8) | 1.03 | $2 \cdot 31$ | 1.03 | $5 \cdot 19{ }^{\text {d }}$ | $3 \cdot 51$ | (1.03) | (2.71) | $5 \cdot 19$ | $3.3 \times 10^{-3}$ | 0.98 | 227 | 1.41 |
| (9) | $0 \cdot 0$ | 0.99 | 0.0 | $4 \cdot 73{ }^{\text {d }}$ | $3 \cdot 34$ | (0.0) | (1-39) | 4.73 | $4.5 \times 10^{-4}$ | 0.99 | $3 \cdot 34$ | 1.53 |
| (10) | $0 \cdot 41$ | $0 \cdot 73$ | 0.41 | $5 \cdot 29{ }^{\text {d }}$ | $4 \cdot 57$ | $(0 \cdot 41)$ | (1-13) | $5 \cdot 29$ | $6.9 \times 10^{-5}$ | 0.99 | $3 \cdot 30$ | 1.85 |
| (11) | $-1 \cdot 1$ | $-0.92$ | $-1.1$ | $4 \cdot 53{ }^{\text {d }}$ | 3.95 | $(-1 \cdot 1)$ | $(-0.52)$ | $4 \cdot 53$ | $8.9 \times 10^{-6}$ | 0.995 | 0.75 | 1.89 |
| (12) | $-1.6$ | $-0.63$ | $-1 \cdot 6$ | $5 \cdot 17{ }^{\text {d }}$ | $3 \cdot 80$ | (-1.6) | ( -0.23 ) | $5 \cdot 17$ | $3.9 \times 10^{-6}$ | 1.00 | $23.5{ }^{\text {e }}$ | $2 \cdot 58$ |

${ }^{a}$ For key to these see notes to Table 1. ${ }^{b}$ Ref. l. ${ }^{c}$ For origin and meaning see text. The following $\sigma$ values are used: $=\mathrm{N}-$, $\alpha, 0.75 ; \beta, 0.65 ; \gamma, 0.96 ;-\mathrm{NH}-, \alpha,-0.24 ;-\mathrm{O}-, \alpha, 1.08 ; \beta, 0.25 ;-\mathrm{S}-, \alpha, 0.72 ; m$-OMe, $0.08 ; m-\mathrm{Ph}, 0.06 ; p-\mathrm{Ph}, 0.01 . \quad d \mathrm{In}$ $50 \%$ acetone (see ref. 1). e Statistically corrected for two equivalent nitrogen atoms.
under Brown's ${ }^{16}$ general heading of anionic decarboxylation. The unprotonated and protonated forms of the intermediate anion may be schematically represented as

(4)

(5)

(6)

(7)

(8)

(9)

(15)
(16) and (17) where the latter, as we ${ }^{\mathbf{1}}$ have observed, is simply a resonance form of (3); the increased electronwithdrawing effect of the positive charge in (17) should make the decarboxylation rate faster. Intuitively, one might therefore suspect some correlation between rate and ring electronegativity. The difficulty lies in finding an accessible physical quantity that may plausibly relate to the latter. As a start, one might consider resonance energies. Unfortunately, those for heterocycles that appear in the literature ${ }^{17-19}$ are mutually contradictory, and while Dewar's most recent values ${ }^{19}$

(16)

(17)
are probably to be preferred, such key structures as thiazole and oxazole are absent, and the validity of even the best data is reduced by factors to which Dewar himself ${ }^{19,20}$ has drawn attention. More relevant perhaps might be ring electron density at the carboxymethyl substituent position, but once again published data are fragmentary, subject to the same qualifications as above, ${ }^{20}$ and in addition possess the crippling disadvantage that their method of calculation relates them to a relative not an absolute scale, so that they cannot be transferred from one heterocycle to another. We have had to fall back, therefore, on empirical criteria.

In preliminary attempts at a correlation with our previous ${ }^{1}$ values of $k_{\text {obs }}$, the following candidate parameters were tested: chemical shift of the $\alpha$-methylene protons; $\Delta \lambda_{\text {max. }}$ on protonation; basic $\mathrm{p} K_{\mathrm{a}}$ of the compound itself or its parent heterocycle; acid $\mathrm{p} K_{\mathrm{a}}$; basic $\mathrm{p} K_{\mathrm{a}}$ of the compound in which $\mathrm{CH}_{2} \mathrm{CO}_{2} \mathrm{R}$ is replaced by $\mathrm{NH}_{2} ; v_{\mathrm{C}}=0$ of that in which OH replaces $\mathrm{CH}_{2} \mathrm{CO}_{2} \mathrm{R}$; and the nuclear $\sigma$ constants of Jaffé and

[^2]Perrin. ${ }^{21,22}$ All had to be rejected. However, one significant correlation did emerge. If the data are restricted to those acids (in Table 1 of ref. 1) which contain a $p$-chloro- or a $p$-bromo-group and no $\alpha$ substituent, there is a good linear relation between the summation of these nuclear and other $\sigma$ constants ( $\Sigma \Sigma$ ' of Table $4^{*}$ ) for the carboxyalkyl substituent position and enthalpy of reaction $\Delta H^{\ddagger}$ (Figure 5). The


Figure 5 Relation between $\Delta H^{\ddagger}$ and $\Sigma \sigma$ for the decarboxylation rates of some heterocyclic acetic acids from ref. l (see text)
attempt at a correlation has been confined in the above manner since the data ${ }^{1}$ make it clear that $\Delta H^{\ddagger}$ and $\Delta S^{\ddagger}$, but not $\Delta G^{\ddagger}$, are very sensitive to substituent, behaviour typical of self-compensating changes in solvation. ${ }^{23}$ The one seriously deviant point, that for the oxazolylacetic acid (ll), may originate this way, since this compound, alone in the series, possesses a site alternative to (and perhaps better than) nitrogen for an intramolecular hydrogen bond. It may be significant that $\Delta H^{\ddagger}$ for this compound is abnormally affected by $\alpha$-methylation. ${ }^{1}$ Consistently, the only other deviant point, and this in the opposite direction, is for a 4 pyridylacetic acid derivative incapable of any intramolecular bonding at all.

There is a particular reason why, in this case, the appropriate reactivity index might well be correlated better with enthalpy than with free energy (rate) as such. If we are right that the zwitterion is the true reactant, then the observed rate is the product of two quantities: a pre-equilibrium ionisation and a subsequent reaction step. It follows that the observed enthalpies and entropies will each be composite in character. Consider the ionisation step first. It is now well established ${ }^{24}$ that ionisation processes tend to be largely entropycontrolled: enthalpies are generally small and, within a given series (phenols, ${ }^{24}$ carboxylic acids ${ }^{25,26}$ ), nearly a constant. Data for appropriate bases are more difficult

[^3]to find, but while enthalpies for these are greater, reflecting that for the ionisation of water, there is, again, some evidence ${ }^{26-28}$ for near-constancy inside a given class. Accepting this, the overall $\Delta H^{\circ}$ of ionisation may well be very similar for all the compounds in Figure 5; it follows that the 'true' or zwitterionic enthalpy of reaction $\Delta H_{Z^{\ddagger}}{ }^{\ddagger}$ will probably show much the same order. Now it has been observed ${ }^{24,29}$ that the success of the Hammett correlation depends on an implicit parallelism between enthalpy and free energy: it succeeds, in general, only when $\Delta H^{\ddagger}$ and $\Delta S^{\ddagger}$ are related in some non-random manner. Provided that solvation terms are factored out, a condition here sought, if not certainly achieved, by standardising all structural features save only the heterocyclic nucleus itself, it follows that $\Delta H_{\mathrm{Z}} \ddagger$ and $\Delta G_{\mathrm{Z}} \ddagger$ should run parallel (when this condition fails the more sophisticated treatment of Hepler ${ }^{24}$ must be used). We postulate, in short, that $\Delta H^{\ddagger}$ in Figure 5 ' stands in ' for the missing, unknown, zwitterionic rate constant $k_{\mathrm{z}}$. It follows from this treatment that the failure of $k_{\text {obs }}$ to correlate with $\Delta H^{\ddagger}$ is mainly the fault of large compensating changes in the entropy terms, especially in the entropy of ionisation $\Delta S^{\circ}$.

It therefore became relevant to measure $k_{\mathrm{Z}}$ and $k_{\mathrm{N}}$ for as many of these compounds as possible. This requires knowledge of $x_{\mathrm{Z}}$ and $x_{\mathrm{N}}$, which can in principle be obtained as described above. As already observed, aqueous acetone turns out in this respect to have been an unfortunate choice of solvent, and insufficient material remained to repeat the entire investigation. We have compromised by comparing rates in $50 \%$ acetone with mole fractions in $46 \%$ propan- 2 -ol, which last appears from our data ${ }^{30}$ to be the closest possible equivalent to the former in its effect on potentiometrically accessible acid and basic $\mathrm{p} K_{\mathrm{a}}$ values $\left[n . b\right.$. that $\mathrm{p} K_{\mathrm{B}}$ values derive from $50 \%$ acetone where known, and that $45 \%$ propan2 -ol values are quoted and used for (5) and (6)]. We have also adopted the convention, based on compounds (4)-(6), that $\mathrm{p} K_{3}=\mathrm{p} K_{\mathrm{Me}}+0.4$ under these conditions. Data are assembled in Table 4, and the resulting relations between $k_{\mathrm{Z}}$ or $k_{\mathrm{N}}$ and $\Sigma \sigma$ are shown on Figure 6.

The relation between $k_{\mathrm{Z}}$ and $\Sigma \sigma$ appears on Figure 6(a). It takes the form of a line [equation (1), $r=0.96$ ] or a gentle curve [equation (2), $r=0.98$ ]. The latter as well as the former is shown although the extra term is only significant at the $5 \cdot 9 \%$ level. Despite

$$
\begin{equation*}
\log k_{\mathrm{z}}=3.96 \pm 0.46 \Sigma \sigma-5.41 \pm 0.72 \tag{1}
\end{equation*}
$$

$\log k_{\mathrm{z}}=7.77 \pm 1.68 \Sigma \sigma-1.25 \pm 0.54(\Sigma \sigma)^{2}-$
$7.86 \pm 1.20$
${ }^{23}$ D. J. G. Ives and P. D. Marsden, J. Chem. Soc., 1965, 649. ${ }^{24}$ L. G. Hepler, J. Amer. Chem. Soc., 1963, 85, 3089.
${ }^{25}$ J. J. Christensen, R. M. Izatt, and L. D. Hansen, J. Amer. Chem. Soc., 1967, 89, 213.
${ }_{26}$ R. P. Bell,' The Proton in Chemistry,' Methuen, London, 1959, ch. 5.
${ }^{27}$ J. M. Essery and K. Schofield, J. Chem. Soc., 1961, 3939.
28 J. J. Christensen, J. H. Rytting, and R. M. Izatt, J. Chem. Soc. (B), 1970, 1643.
${ }^{29}$ L. P. Hammett, ' Physical Organic Chemistry,' 2nd edn., McGraw-Hill, New York, 1970, p. 404.
${ }_{30}$ R. G. Button and P. J. Taylor, unpublished data.
this we consider it intuitively reasonable; there is no fundamental reason why the relation should be linear, and indeed its curvature, if real, could well result from a slow drift of the transition state along the reaction coordinate with increasing electronegativity of the heterocyclic ring. A rather similar phenomenon is thought ${ }^{31}$ to explain the curvature that has recently been detected ${ }^{32}$ in some Brönsted plots which Figure 6(a) superficially resembles. Approximations apart, either correlation is probably as good as can be expected for nuclear $\sigma$ constants at this stage in their development. The significance of this relation, whichever form may be preferred, is that it is derived from compounds based on seven heterocyclic nuclei and ranging in nature from the wholly zwitterionic to some containing only parts per million of zwitterion. Over the whole of this range,


Figure 6 Relation between $\Sigma \sigma$ and (a) $k_{\mathrm{Z}}$, (b) $k_{\mathrm{N}}$, for the compounds of Table 4 (the squares are those points not used on Figure 7)
there seems no need to postulate the intervention of any competing mechanism.

By contrast, the relation between $\Sigma \sigma$ and $k_{\mathrm{N}}$ [Figure 6 (b)] is no more than a scatter diagram. These equally result from the attempt to find correlations between $k_{z}$ or $k_{\mathrm{N}}$ and any of the alternative empirical parameters already tried for $k_{\text {obs }}$ (see above). The one partial exception is a rather poor correlation between $k_{\mathrm{Z}}$ and $\mathrm{p} K_{2}$ which presumably reflects, in imperfect form, the derivation of ' $\Sigma \sigma$.' No correlation between $k_{\mathrm{N}}$ and any

[^4]sort of structural parameter, separately or in combination, has been found.

One other way in which these data can be used is worth mentioning. It has been seen ${ }^{1}$ that the acid related to (9) by $\alpha$-methylation decarboxylates $3 \times 10^{3}$ times faster than its anion, whereas the anion of (12) is only about ten times less reactive than the formally neutral species. This gap in reactivity ratio is surprising since, as argued previously, ${ }^{1}$ something like constancy might have been expected: apart from the negative charge, all factors are virtually the same. This applies equally whether the cyclic or the zwitterionic route is considered [i.e., the transition states (18a) or (18b) with

(18a)

(18 b)
or without a proton on nitrogen]. Given $x_{\mathrm{Z}}$, it is possible in the latter eventuality to make an approximate calculation of the 'true' rate ratio, i.e. $k_{\mathrm{z} /} / k_{\text {anion }}$ (the former eventuality leaves the superficial facts unchanged). If compound (9) and its $\alpha$-methyl derivative contain about the same mole fraction of zwitterion, this rate ratio is $c a .7 \times 10^{5}$. For compound (12), it is $c a$. $2.5 \times 10^{6}$. This is near enough a constant for present purposes and, in addition to providing striking circumstantial confirmation of the zwitterionic theory, it suggests that the anion may well become the more reactive species once the proportion of zwitterion falls low enough.

The tautomeric ratio. Another way of looking at this reaction starts from a further consideration of the rival transition states (18a) and (18b).

Decarboxylation, by either mechanism, eventually involves the formation of an intermediate whose tautomeric form is highly unfavourable. ${ }^{33}$ In so far as the transition state resembles this intermediate, the same must be true of that. This suggests the possibility that some part of these differences in rate, and perhaps some of the scatter on Figure 6(a), may be due to the intervention of this additional factor. To check on this possibility, we require the tautomeric ratio of some close model for the transition state.

In the nature of things, no model can be exact. However, a possible approximation involves the equilibrium (19) $\rightleftharpoons(20)$, where the nature of R is to be decided. Species of type $(20 ; \mathrm{R}=\mathrm{H})$ are commonly somewhat

(19)

(20)
unstable, and in addition lack the conjugation with carbonyl present in (18). This last feature is prominent,
${ }^{33}$ A. R. Katritzky and J. M. Lagowski, Adv. Heterocyclic Chem., 1963, 1, 341.
perhaps too prominent, in compounds for which $\mathrm{R}=$ $\mathrm{CO}_{2} \mathrm{R}^{\prime}$, and which can be made by $N$-methylation of the heterocyclic acetates. Their accessibility helped to dictate the choice of these compounds for study.

Compound availability and preparative difficulties have drastically curtailed the number of examples even so, but the six that have been prepared at least all represent a different heterocyclic nucleus. Their $\mathrm{p} K_{\mathrm{a}}$ values ( $\mathrm{p} K_{\text {NMe }}$ ) and resultant tautomeric ratios $\left[K_{\mathrm{T}}=\right.$ $(20) /(19)]$ are given in Table 5 for $46 \%$ propan-2-ol

Table 5
Tautomeric ratio for the equilibrium (19) $\rightleftharpoons(20)$ for some compounds in $46 \%$ propan- 2 -ol

| Compound | $\mathrm{p} K_{\mathrm{NMe}}$ | $-\mathrm{p} K_{\mathrm{T}}{ }^{a}$ |
| :---: | :---: | :---: |
| $(21)$ | $c a .15$ | $c a .10$ |
| $(22)$ | $9 \cdot 00$ | $5 \cdot 61$ |
| $(23)$ | $5 \cdot 91$ | $6 \cdot 20$ |
| $(24)$ | $4 \cdot 01$ | $2 \cdot 98^{b}$ |
| $(25)$ | $3 \cdot 64$ | $3 \cdot 64$ |
| $(26)$ | $5 \cdot 16$ | $6 \cdot 26^{b}$ |

${ }^{a}$ For definition see text. ${ }^{b}$ It is assumed that minor substitutions such as methyl for ethyl ester in (24) or $p$-chloro for $p$-bromo in (26) do not affect $K_{\mathrm{T}}$ appreciably.
[the $\mathrm{p} K_{\mathrm{a}}$ of (19) is that given for the appropriate ester ( $\mathrm{p} K_{\mathrm{OMe}}$ ) in Table 4]. Data for (22) in water have been reported by Jones and Katritzky. ${ }^{34}$ Tautomeric ratios for the whole set have also been measured in water. ${ }^{30}$ The $\mathrm{p} K_{\mathrm{NMe}}$ of (21)* is so high, and the compound itself so sensitive to alkali, that the value quoted can be considered accurate only to the nearest unit. All these $\mathrm{p} K_{\text {NMe }}$ values are sensitive to solvent, the value in $46 \%$

(21)

(22)

propan-2-ol lying $1 \cdot 5-2$ units below that in water. The value for ( 21 ) in $46 \%$ propan- 2 -ol has been obtained by assuming a difference at the top end of this range, there

* This compound, with $\mathrm{p} K_{\mathrm{a}}$ ca. 17 in water, is one of the strongest organic bases yet to be reported (see M. J. Cook, A. R. Katritzky, P. Linda, and R. D. Tack, Chem. Comm., 1971, 510, for another recent example).
being evidence (see Tables $\mathbf{3}$ and 4 ) that the $\mathrm{p} K_{\mathrm{a}}$ values of (4) and related compounds are unusually solventsensitive. Even so, the very large tautomeric ratio is probably a minimum. The alkali-sensitivity of these compounds has been previously discussed ${ }^{34}$ with respect


Figure 7 Relation between $k_{\mathrm{Z}}$ and a mixed function of $\Sigma \sigma$ and $K_{\mathrm{T}}$ according to equation (3)
to (22) and attributed to covalent hydration. Their time-dependent u.v. changes are all similar (disappearance of the strong band at $>300 \mathrm{~nm}$ ), and so probably is the explanation for them. Except for (21), however, it has proved possible to measure these $\mathrm{p} K_{\mathrm{NMe}}$ values without appreciable interference from alkaline attack.

Inspection of Table 5 reveals some very suggestive features, notably the relatively favourable tautomeric ratio for the pyridazine (24) and the exceedingly unfavourable one for the imidazole (21). Consistently with this the corresponding acetic acids (8) and (4) are notably reactive and unreactive, respectively (Table 4). Nevertheless, statistical analysis reveals no significant correlation between $k_{\mathrm{Z}}$ or $k_{\mathrm{N}}$ and $K_{\mathrm{T}}$ as such. If however $k_{\mathrm{Z}}$ is analysed as a mixed function of $\Sigma \sigma$ and $K_{\mathrm{T}}$, equation (3) results. Though the presence of the term

$$
\begin{array}{r}
\log k_{\mathrm{Z}}=3.88 \pm 0.65 \Sigma \sigma-0.298 \pm 0.133 \mathrm{p} K_{\mathrm{T}}-  \tag{3}\\
3.37 \pm 1.43
\end{array}
$$

in $K_{\mathrm{T}}$ improves the fit only at the $\mathbf{1 1} \cdot 2 \%$ level of significance despite the slightly better correlation coefficient (from $r 0.96$ to 0.985 ), to the eye at least there is some improvement [Figure 7; cf. Figure 6(a)], and we think it likely that this correlation is genuine. In view of the imperfections of the model, this result is probably as good as could have been hoped.

The intervention of tautomeric ratio as a factor in decarboxylation rate may explain one result already noted ${ }^{1}$ as a puzzle. The isoxazolylacetic acids (14) and (15) decarboxylate in water at a rate which, even at $100^{\circ}$, is extremely low. Their very low basicity ( $\mathrm{p} K_{\mathrm{A}}-3.31$ and -3.60 respectively), leading as it will to a proportion of zwitterion far less even than for (12), must furnish part of the explanation. Tautomeric ratio may supply the remainder. 3-Hydroxyisoxazoles

[^5]are among the few known heteroaromatic compounds that exist as such when the ketone alternative is available, ${ }^{35}$ and the tautomeric ratio even for the 5 -isomer ${ }^{36}$ is probably close to unity. Both, therefore, exhibit an unusual reluctance to support an exocyclic double bond, and if that applies in a favourable case, it must be even more pronounced in this one. For these compounds, $K_{\mathrm{T}}$ could be still more negative than the $10^{-10}$ for (21). Using that value, and assuming one of $10^{-8}$ for $x_{\mathrm{Z}}$, equation (3) may be used to predict a value of $k_{\mathrm{obs}}$ for (14) and (15) in the region of $10^{-6}-10^{-10} \mathrm{~min}^{-1}$. To fit the facts a value at the bottom end of this range is required, but at least this very rough calculation does not give obviously ridiculous results.

Another problem left over from the previous investigation ${ }^{\mathbf{1}}$ concerns the much enhanced decarboxylation rate of the quinolylacetic acids as compared to their pyridyl analogues, for which enhanced electronegativity seems an improbable explanation. However, it is well established ${ }^{33}$ that ring annulation reduces resistance to exocyclic double bond formation, no doubt by reducing the loss in resonance energy, and that factor could be operative here. We conclude, on qualitative as much as on quantitative grounds, that tautomeric ratio probably does have some bearing on decarboxylation rate.

Conclusions.--The decarboxylation of the $\beta, \gamma$-unsaturated acids has been shown, by Bigley and Thurman, ${ }^{6}$ to go through the cyclic transition state (2a). We believe that we have demonstrated, in terms hardly less unambiguous, that decarboxylation even of the least basic heterocyclic acetic acid goes via the zwitterionic intermediate (lb). In between lies the disputed territory of the $\beta$-keto-acids, for which zwitterionic structures can neither be proved nor excluded. We conclude by advancing an argument that, so far as we are aware, has never been used in this context before.

From the published thermochemical data ${ }^{37}$ it is possible to deduce that, in the gas or liquid phase, acetic acid is endergonic with respect to methane and carbon dioxide by a margin of about $10 \mathrm{kcal} \mathrm{mol}^{-1}$. Such a margin does not necessarily apply in other cases, and in any case the acid is likely to be stabilised relative to its breakdown products in aqueous or partly aqueous solution, but it still seems probable that decarboxylation tends to be a mildly exergonic process. We do not know how these three classes of compound compare in that respect, but there is no reason to suppose that they are very dissimilar. Also we know from the evidence presented above that the tautomeric equilibrium [(19) $\left.\rightleftharpoons(20) ; \mathrm{R}=\mathrm{CO}_{2} \mathrm{R}^{\prime}\right]$ lies very much against double bond formation, and for $[(19) \rightleftharpoons(20) ; \mathrm{R}=\mathrm{H}]$ it is likely to be still less favourable; such data as exist ${ }^{33}$ bear this out. Consequently (3) in these cases must be

[^6]highly endergonic with respect to the final rearranged product, perhaps by $10-15 \mathrm{kcal} \mathrm{mol}^{-1}$ or more. These features are shown on the schematic free energy diagram, Figure 8(a). With $\Delta G^{\ddagger} c a .25 \mathrm{kcal} \mathrm{mol}^{-1}$ (ref. 1), the methine ( $20 ; \mathrm{R}=\mathrm{H}$ ) therefore cannot lie too far below the transition state. It follows on Hammond's principle ${ }^{38}$ that the transition state is well advanced along the reaction co-ordinate; there is plenty of room between this and the reactant to accommodate some such intermediate as a zwitterion. Incidentally, the slow drift in position of the transition state with electronegativity, postulated above, is only too likely on this argument.

For the $\beta, \gamma$-unsaturated acids, a wholly different situation obtains. Here the intermediate is the final product; there may be some energetic difference between the isomeric alkenes, but surely not much. Hence species (3) is of very much lower energy than formerly [Figure 8(b)]. It follows ${ }^{38}$ that the transition state must be much more reactant-like: there is little room here for an intermediate, and Bigley's conclusion ${ }^{6}$ necessarily holds.

It will at once be evident that the $\beta$-keto-acids fit the first case much more closely than the second. Once


Figure 8 Simulated free energy diagrams for the decarboxylation of (a) the heterocyclic acetic acids, (b) the $\beta, \gamma$-unsaturated acids (see text)
again (3) is a metastable species, for acetone ${ }^{39}$ by a factor $c a .10^{6}$ (which should be still less in more polar media ${ }^{40}$ ), i.e. by much the same margin as for the heterocyclic acetic acids. Concurrently, $\Delta G^{\ddagger}$ is of the same order. ${ }^{2,5}$ There is, therefore, ample room for a zwitterion. While mere adumbration of this possibility does not prove its truth, we feel this point of difference between the $\beta, \gamma$-unsaturated and the $\beta$-keto-acids to be stronger than any point of resemblance so far claimed. Direct evidence of the type adduced above is scarcely conceivable in this case, but the acceleration of $\beta$-ketoacid decarboxylation specifically by primary amines ${ }^{\mathbf{4 1}}$ is much more readily understood in terms of the zwitterion (27) than in any other way. Incidentally, one related decarboxylation in solution quite certainly goes by the zwitterionic route. While formally the same alternatives as elsewhere (Scheme 3) exist for the

[^7]$\beta$-hydroxy-acids studied by Noyce and his co-workers, ${ }^{42}$ the cyclic transition state (29a) would involve the

concerted making and breaking of three bonds of which only one concerns proton transfer, which surely rules


Scheme 3
this mechanism out of court. If the zwitterionic pathway is certain here, it is at least perfectly reasonable for the equally non-basic $\beta$-keto-acids.
ation this way in highly polar solvents not impossible even when a carbonium ion would have to be formed [cf. (28c)]. We believe, in conclusion, that the heterocyclic acetic acids decarboxylate by the zwitterionic mechanism under all conditions studied, and that $\beta$-keto-acids probably do; but the last cannot be proved either way at present, and much more evidence will be needed before firm conclusions can be drawn.

## EXPERIMENTAL

The following procedure for compound (26) illustrates the general method for the preparation of the anhydro-bases described in Tables 5 and 6.

4-(p-Chlorophenyl)-2-ethoxycarbonylmethylene-3-methyl-
oxazoline (26).-To a mixture of dimethyl sulphate ( 0.16 ml ) and nitrobenzene ( 3 ml ), both previously dried over anhydrous potassium carbonate, 4 -( $p$-chlorophenyl)-2ethoxycarbonylmethyloxazole ( 0.295 g ) was added and the solution was heated 3.5 h at $100-110^{\circ}$, left overnight, and stirred for 24 h in contact with saturated aqueous potassium iodide solution. The nitrobenzene layer after separation was freeze-dried and the resulting solid was triturated with ether ( $2 \times 10 \mathrm{ml}$ ) to yield the hydriodide (Table 6). The latter was dissolved in aqueous sodium carbonate and filtered, the filtrate was extracted with chloroform, and the chloroform layer, after drying over anhydrous potassium carbonate, was evaporated under reduced pressure to yield the crystalline anhydro-base (26).
The pyridazine (24) and thiazole (25) anhydro-bases appeared in the nitrobenzene layer after digestion with aqueous potassium iodide without the need for treatment with alkali. By contrast, alkali will not liberate the imidazole anhydro-base (21). Elemental analyses (Table 6) are reported for these anhydro-bases when they could be isolated sufficiently free of inorganic material; otherwise they are given for the hydriodides. The latter were in any case used for the $\mathrm{p} K_{\mathrm{a}}$ determinations. The pyridine anhydro-base (22) has been described. ${ }^{34}$ Attempts to make a triazine anhydro-base by similar methods failed; only unchanged starting material was recovered.
Kinetics and Equilibria.-Materials and methods were

TABLE 6
Analytical and other data for anhydro-bases

| Compound | M.p. ( ${ }^{\circ} \mathrm{C}$ ) | $\lambda_{\text {max. }} / \mathrm{nm}^{\text {a }}$ | Formula | Found (\%) |  |  |  | Required (\%) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | C | H | N | I | C | H | N | I |
| (21), HI | 137-139 | ca. 320 | $\mathrm{C}_{14} \mathrm{H}_{16} \mathrm{CIIN}_{2} \mathrm{O}_{2}$ | $41 \cdot 1$ | $4 \cdot 1$ | 7-1 | $30 \cdot 6$ | $41 \cdot 3$ | $3 \cdot 9$ | 6.9 | 31.2 |
| (22) | $52^{\text {b }}$ | $375{ }^{\text {c }}$ | $\mathrm{C}_{10} \mathrm{H}_{13} \mathrm{NO}_{2}$ | $66 \cdot 7$ | $7 \cdot 1$ | $8 \cdot 3$ |  | $67 \cdot 0$ | $7 \cdot 3$ | $7 \cdot 8$ |  |
| (23), HI |  | 333 | $\mathrm{C}_{15} \mathrm{H}_{16} \mathrm{CIIN}_{2} \mathrm{O}_{2}$ | $42 \cdot 4$ | $3 \cdot 7$ | 6.5 |  | $43 \cdot 0$ | $3 \cdot 8$ | $6 \cdot 7$ | $30 \cdot 3$ |
| (24) | 194-195 | 341 | $\mathrm{C}_{14} \mathrm{H}_{13} \mathrm{ClN}_{2} \mathrm{O}_{2}$ | $61 \cdot 1$ | $5 \cdot 0$ | 9.9 |  | $60 \cdot 7$ | $4 \cdot 7$ | $10 \cdot 1$ |  |
| (25) | 131-132.5 | 331 | $\mathrm{C}_{14} \mathrm{H}_{14} \mathrm{BrNO}_{2} \mathrm{~S}$ | $49 \cdot 7$ | $4 \cdot 2$ | $4 \cdot 0$ |  | $49 \cdot 4$ | $4 \cdot 1$ | $4 \cdot 1$ |  |
| (26), HI | 130-132 |  | $\mathrm{C}_{14} \mathrm{H}_{15} \mathrm{ClINO}_{3}$ | $40 \cdot 4$ | $3 \cdot 6$ | $3 \cdot 5$ |  | 41.2 | $3 \cdot 7$ | $3 \cdot 4$ | $31 \cdot 1$ |

In the absence of direct methods much might be accomplished by a more careful study of solvent effects, especially as regards variations in the activation parameters and possible specific effects due to dipolar aprotic solvents ( $c f$. ref. 1). There is also the interesting question as to where in this sequence, as the medium is changed, one mechanism shades into the other; gasphase decarboxylation by the zwitterionic route is improbable even for the pyridylacetic acids, decarboxyl-
as previously ${ }^{1}$ described. Aqueous $\mathrm{p} K_{\mathrm{a}}$ values were mostly measured by u.v. spectrophotometry; that for (21) assumes the $H_{-}$scale of Rochester ${ }^{43}$ and was approximated from a number of solutions made in strong alkali and at once examined (the half-neutralisation point is reached in $c a$. 13.5 m -sodium hydroxide solution). The $\mathrm{p} K_{\mathrm{A}}$ and $\mathrm{p} K_{\mathrm{B}}$

42 D. S. Noyce and S. K. Brauman, J. Amer. Chem. Soc., 1968, 90, 5218; D. S. Noyce, L. Gortler, M. J. Jorgensen, F. B. Kirby, and E. C. McGoran, ibid., 1965, 87, 4329.
${ }^{43}$ C. H. Rochester, Quart. Rev., 1966, 20, 511.
values for the 2 - and 4 -pyridylacetic acids were also measured by u.v. methods; some $\mathrm{p} K_{\mathrm{B}}$ values were doublechecked by potentiometry. Otherwise values in aqueous propan-2-ol, including all those of Table 5, were mostly obtained by potentiometric titration. Since aqueous buffers were used to calibrate the glass electrode and no attempt was made to correct for liquid junction errors, the values for aqueous propan- 2 -ol cannot be considered 'true' $\mathrm{p} K_{\mathrm{a}} \mathrm{s}$ but at any given solvent composition they will be internally self-consistent, so the calculation of $K_{\mathrm{T}}$ and mole fraction is unaffected by this. Published information ${ }^{44}$ suggests the liquid junction potential correction to be small for aqueous alcoholic solvents over this mole fraction range.

There are individual features of some interest. Those anhydro-bases examined (Table 6) show strong u.v. absorption beyond 300 nm , as previously noted ${ }^{34}$ for one of them. Their $\mathrm{p} K_{\mathrm{a}}$ values are exceptionally sensitive to solvent composition, presumably because the base and its cation are so markedly different in structure. Consequently, $K_{\mathrm{T}}$ also varies; it is appreciably less negative in water. As the pH scale is ascended, the u.v. spectra of the 2 - and 4-pyridylacetic acids show changes which differ markedly according as to whether zwitterion or neutral species is the intermediate form: the first of these has the stronger spectrum, and for the latter acid this is the strongest in the set. U.v. changes at more than one wavelength, in general near 230 and 275 nm , were used in deriving the $\mathrm{p} K_{\mathrm{a}}$ values, and results were rejected unless there was good agreement.

For rates and $\mathrm{p} K_{\mathrm{a}}$ determinations, means of 2-4 results were taken. Calculated values of $\pm 5 \%$ for the standard deviation of $k_{\text {obs }}$ and of $\pm 0.04$ for that of $\mathrm{p} K_{\mathrm{a}}$ lead to e.s.d. values of $\pm 5 \%$ in $x_{\mathrm{Z}}$ or $x_{\mathrm{N}}$ in the centre of the mole fraction scale and $\pm 2 \%$ at its extremes. The resulting values of $k_{\mathrm{Z}}$ and $k_{\mathrm{N}}$ have e.s.d. values of $\pm 12 \%$ across the mole fraction scale, i.e. $\pm 0.05$ in $\log k$, or about twice the vertical spread represented by each point on Figure 4.

## APPENDIX

In terms of Scheme 2 we have the definitions (4)-(6). ${ }^{10,13}$

$$
\begin{align*}
K_{\mathrm{A}} & =K_{1}+K_{2}  \tag{4}\\
1 / K_{\mathrm{B}} & =1 / K_{3}+1 / K_{4}  \tag{5}\\
K_{\mathrm{Z}} & =K_{1} / K_{2}=K_{4} / K_{3}=x_{\mathrm{Z}} / x_{\mathrm{N}} \tag{6}
\end{align*}
$$

It is readily shown ${ }^{10,13}$ that equation (7) obtains. The

$$
\begin{equation*}
\left(x_{\mathrm{z}}+x_{\mathrm{N}}\right)=1 /\left(\left[\mathrm{H}^{+}\right] / K_{\mathrm{A}}+1+K_{\mathrm{B}} /\left[\mathrm{H}^{+}\right]\right) \tag{7}
\end{equation*}
$$

isoelectric point is defined by (8). Substituting (8) in (7)

$$
\begin{equation*}
\left[\mathrm{H}^{+}\right]=\left(K_{\mathrm{A}} K_{\mathrm{B}}\right)^{\frac{1}{2}} \tag{8}
\end{equation*}
$$

and making use of (4)-(6) to define $x_{\mathrm{Z}}$ and $x_{\mathrm{N}}$ separately, we have equations (9) and (10) at the isoelectric point.

$$
\begin{align*}
& x_{\mathrm{Z}}=\left(\frac{K_{1}}{K_{\mathrm{A}}}\right)\left[\frac{\left(K_{\mathrm{A}} / K_{\mathrm{B}}\right)^{\frac{1}{2}}}{2+\left(K_{\mathrm{A}} / K_{\mathrm{B}}\right)^{\frac{1}{2}}}\right]= \\
&\left(\frac{K_{\mathrm{B}}}{K_{\mathrm{B}}}\right)\left[\frac{\left(K_{\mathrm{A}} / K_{\mathrm{B}}\right)^{\frac{1}{2}}}{2+\left(K_{\mathrm{A}} / K_{\mathrm{B}}\right)^{\frac{1}{2}}}\right]  \tag{9}\\
& x_{\mathrm{N}}=\left(\frac{K_{2}}{K_{\mathrm{A}}}\right)\left[\frac{\left(K_{\mathrm{A}} / K_{\mathrm{B}}\right)^{\frac{1}{2}}}{2+\left(K_{\mathrm{A}} / K_{\mathrm{B}}\right)^{\frac{1}{2}}}\right]= \\
&=  \tag{10}\\
&\left(\frac{K_{\mathrm{B}}}{K_{4}}\right)\left[\frac{\left(K_{\mathrm{A}} / K_{\mathrm{B}}\right)^{\frac{1}{2}}}{2+\left(K_{\mathrm{A}} / K_{\mathrm{B}}^{\frac{1}{2}}\right.}\right]
\end{align*}
$$

Equations (9) and (10) have been used to derive the $x_{\mathrm{Z}}$ and $x_{N}$ values of Tables 1—4; they reduce to Ebert's formula ${ }^{9,13}$ as the ratio $K_{\mathrm{A}} / K_{\mathrm{B}}$ approaches infinity.

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[^0]:    * It may be objected that extremum phenomena, now known ${ }^{11}$ to be common in mixed aqueous solution, might vitiate this conclusion. However, these generally appear to result from solvent-solvent interactions, so that while they show in $\Delta H^{\ddagger}$ and $\Delta S^{\ddagger}$, they cancel in $\Delta G^{\ddagger}(\log k)$. There may be exceptions to this in cases where the transition state is structurally very dissimilar from the reactant, ${ }^{12}$ but that is not so here. In any case, extremum effects lead normally to a single change in slope, not to two as predicted here.

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